



PATENT  
5681-03000/P4649

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Garnett, et al

Serial No. 09/938,800

Filed: August 24, 2001

For: Protection for Memory  
Modification Tracking

§ Group Art Unit: 2113  
§ Examiner: Marc M. Duncan

§ Atty. Dkt. No. 5681-03000

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

October 15, 2004  
Date

B. Noël Kivlin  
Registered Representative  
Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant requests consideration of  the references listed on the attached Form PTO-1449 and/or  the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a.  within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);  
 within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;  
 before the mailing date of a first Office Action on the merits; or  
 before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
  
- b.  after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:  the certification of paragraph 2 below is provided, or  a fee of \$180.00 is enclosed.

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c.  after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.

2. It is hereby certified:

that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.

3.  Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

4. For each non-English language reference listed on the attached Form PTO-1449:

reference is made to an English language translation submitted herewith, and/or

reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or

reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or

reference is made to the concise explanation contained in the specification of the present application at page(s) \_\_\_\_\_, and/or

reference is made to the concise explanation set forth below:

5.  Applicant also offers the following comments for the Examiner's consideration:

6.  Also enclosed is a copy of a foreign search report citing these references.

7.  The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8.  Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5681-03000/BNK.

Respectfully submitted,



B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.

P. O. Box 398  
Austin, Texas 78767  
(512) 853-8800

Date: 10-15-04

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Page 1 of 1

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5681-03000	SERIAL NO. 09/938,800
	APPLICANT: Garnett, et al	GROUP: 2185
	FILING DATE: August 24, 2001	

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
	B1	2002/0065996	5/30/02	Garnett, et al			
	B2	2002/0065986	5/30/02	Garnett, et al			
	B3	6,785,777	8/31/04	Garnett, et al			
	B4	6,785,763	8/31/04	Garnett, et al			
	B5	6,782,453	8/24/04	Keltcher, et al			
	B6	6,640,287	10/28/03	Gharachorloo, et al			
	B7	6,622,219	9/16/03	Tremblay, et al			
	B8	6,496,940	12/17/02	Horst, et al			
	B9	6,260,159	7/10/01	Garnett, et al			
	B10	6,233,702	5/15/01	Horst, et al			
	B11	6,151,689	11/21/00	Garcia, et al			
	B12	5,838,894	11/17/98	Horst			
	B13	5,790,776	8/4/98	Sonnier, et al			

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES/NO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

B14	UK Combined Search and Examination Report, application no. GB0029107.0, filed November 29, 2000.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



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In re Application of:  
Garnett, et al

Serial No. 09/938,800

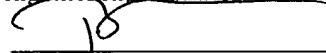
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Registered Representative  
  
October 15, 2004  
Date  
Signature

FEE AUTHORIZATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5681-03000:

Fee: Information Disclosure Statement  
Amount \$180.00  
Attorney Docket No.: 5581-03000

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number.

Respectfully submitted,



B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.  
P. O. Box 398

Austin, Texas 78767  
(512) 853-8800

Date: 10-15-04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Paul Jeffrey Garnett and Jeremy Graham Harris

Assignee: Sun Microsystems, Inc.

Serial No.: 09/938,800

Filed: August 24, 2001

Title: PROTECTION FOR MEMORY MODIFICATION TRACKING

Attorney Docket No.: 5481-03000/P4649

The date stamp of the mail room of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the attached 1) Information Disclosure Statement w/accompanying Form PTO-1449 and references A1 - A2; and 2) Return Postcard.

BNK\ka

Via First Class Mail

date:

11/5/01

